



2182

01263.002129 (1263.2129)

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Alistair William MCLEAN ) : Examiner: Unassigned  
Appln. No.: 09/986,813 ) : Group Art Unit: 2182  
Filed: November 13, 2001 ) :  
For: USER INTERFACES ) : October 24, 2002

RECEIVED  
OCT 25 2002  
Technology Center 2100

Commissioner for Patents  
Washington, D.C. 20231

THIRD INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

FEE STATEMENT

In accordance with 37 C.F.R. § 1.97(b), since a first Official Action on the merits of the subject application has not yet been issued, neither a statement under 37 C.F.R. § 1.97(e) nor payment of a fee is believed to be required for consideration of this Information Disclosure Statement. However, the Commissioner is hereby authorized to

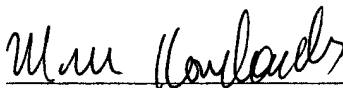
charge any fee which may be required in connection with this paper to Deposit Account No. 06-1205. A duplicate of this paper is enclosed for that purpose.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that the enclosed Form PTO-1449 be returned with the next official communication indicating that such information has been considered.

Applicant's undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

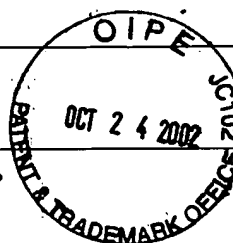


\_\_\_\_\_  
Attorney for Applicant  
Michael E. Kondoudis  
Registration No. 42,758

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200  
MEK\cmv

DC\_MAIN 111278 v 1

|  |  |  |  |  |                                      |  |
|--|--|--|--|--|--------------------------------------|--|
| FORM PTO 1449 (modified)<br><br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br>LIST OF REFERENCES CITED BY APPLICANT(S)<br>(Use several sheets if necessary)<br><br>Submitted to the PTO: <b>October 24, 2002</b> |  |  | ATTY DOCKET NO.<br><b>01263.002129 (1269.2129)</b> |  | APPLICATION NO.<br><b>09/986,813</b> |  |
|  |  |  | APPLICANT<br><b>Alistair William MCLEAN</b>        |  |                                      |  |
|  |  |  | FILING DATE<br><b>November 13, 2001</b>            |  | GROUP<br><b>2182</b>                 |  |



| U.S. PATENT DOCUMENTS  |  |  |      |                 |       |          |   |
|--|--|--|------|-----------------|-------|----------|---|
| *EXAMINER<br>INITIAL   |  | DOCUMENT<br>NUMBER   | Date | Name            | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE                                   |
|  |  |  |      |                 |       |          | <b>RECEIVED</b><br><b>OCT 25 2002</b><br>Technology Center 2100 |
|  |  |  |      |                 |       |          |   |
|  |  |  |      |                 |       |          |   |
|  |  |  |      |                 |       |          |   |
|  |  |  |      |                 |       |          |   |
| FOREIGN PATENT DOCUMENTS   |  |  |      |                 |       |          |   |
|  |  | DOCUMENT<br>NUMBER   | DATE | COUNTRY         | CLASS | SUBCLASS | TRANSLATION<br>YES/NO/<br>OR ABSTRACT                           |
|  |  |  |      |                 |       |          |   |
|  |  |  |      |                 |       |          |   |
|  |  |  |      |                 |       |          |   |
|  |  |  |      |                 |       |          |   |
| OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.) |  |  |      |                 |       |          |   |
|  |  | <b>"Intermediaries: An Approach to Manipulating Information Streams," Barret, et al., IBM Systems Journal, Vol. 38, No. 4 - Persuasive Computing, April 1999.</b>    |      |                 |       |          |   |
|  |  | <b>"Behavior Processors: Layers between End-Users and Java Virtual Machines," Repenning, et al., Proceedings of VL'97, Capri, Italy, September 23-26, 1997.</b>      |      |                 |       |          |   |
|  |  | <b>"Adaptive Color-Image Embeddings for Database Navigation," Rubner, et al., Proceedings of the 1998 IEEE Asian Conference on Computer Vision, Hong Kong, 1998.</b> |      |                 |       |          |   |
| EXAMINER   |  |  |      | DATE CONSIDERED |       |          |   |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1